

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Council Wide	Local Government Association (LGA) Peer Review	1	Deferred				Interim report confirming 19/20 position issued to Committee in July 20. Based on LGA Peer Review recommendation position in 20/21, audit review to be concluded and reported in 21/22.
Council Wide	Risk and Performance Reporting	1	Deferred				Activity agreed for deferral through the approved Internal Audit Plan 21/22. Activity scope updated based on consultation and risk assessment.
Council Wide	Supplier Relief	1	Consultancy	Not applicable	Not applicable	13/07/2021	New Activity.
Change and Transformation	Modernisation Programme	1	Cancelled				Brought Forward from 19/20 plan. 20/21 confirmed as too early for the assurance engagement (Covid 19 impact). The updated activity (and relevant scope) is included in the 21/22 approved Audit Plan through the 'Fit for the Future' review.
Place	Brimscombe Port - Project Management	1	Final Report Issued	Substantial	Satisfactory	06/10/2020	
Place	Carbon Neutral - Strategy	1	Deferred				Activity agreed for deferral through the approved Internal Audit Plan 21/22 (Covid 19 impact).
Place	Gloucestershire Building Control Partnership - Limited Assurance Follow Up	1	Final Report Issued	Substantial	Satisfactory	27/04/2021	
Place	Planning Applications	1	Audit in Progress				Final report outcome due within 21/22.
Place	Covid 19 Recovery Strategy	1	Final Report Issued	Not applicable	Not applicable	13/07/2021	New Activity. Work replaces Corporate Delivery Plan audit.
Resources	Constitution Review	1	Deferred				Deferral (due to work on Business Grants) approved via the Revised Internal Audit Plan 20/21. Re-considered as part of 21/22 audit planning process.
Resources	Corporate Delivery Plan	1	Deferred				Deferral (replaced by Covid 19 Recovery Strategy audit) approved via the Revised Internal Audit Plan 20/21. Re-considered as part of 21/22 audit planning process.
Resources	Corporate Induction Process	1	Final Report Issued	Substantial	Substantial	27/04/2021	
Resources	ICT Action Plan	1	Final Report Issued	Satisfactory	Satisfactory	06/10/2020	Brought Forward from 19/20 plan.
Resources	ICT Service Desk	1	Deferred				Due to launch of ICT Service Desk late within 20/21, consultancy activity agreed for delivery in quarter 3 21/22.
Resources	IT Disaster Recovery	1	Final Report Issued	Not applicable	Not applicable	13/07/2021	Consultancy.
Resources	Cyber Security	1	Final Report Issued	Not applicable	Not applicable	13/07/2021	
Resources	IT Infrastructure Strategy	1	Deferred				Activity agreed for deferral through the approved Internal Audit Plan 21/22 (Covid 19 impact).
Resources	Information Management (Data Breaches)	1	Final Report Issued	Substantial	Satisfactory	13/07/2021	
Resources	Littlecombe Scheme - Limited Assurance Follow Up	1	Final Report Issued	Substantial	Satisfactory	27/04/2021	
Resources	Payroll - Starters	1	Final Report Issued	Substantial	Substantial	06/10/2020	
Resources	Procurement	1	Final Report Issued	Not applicable	Not applicable	13/07/2021	
Resources	Ofgem Application: Non-Domestic Renewable Heat Incentive	1	Final Report Issued	Not applicable	Not applicable	26/01/2021	New Activity.
Resources	Lost Sales Fees and Charges - claim 1	1	Final Report Issued	Not applicable	Not applicable	27/04/2021	New Activity.
Resources	Lost Sales Fees and Charges - claim 2	1	Final Report Issued	Not applicable	Not applicable	27/04/2021	New Activity.
Communities	HRA Delivery Plan	1	Final Report Issued	Not Applicable	Not Applicable	27/04/2021	Position Statement reported to April 21 Audit & Standards Committee. New activity (updated scope) also included within the approved Internal Audit Plan 21/22.
Communities	Anti-social Behaviour Management	2	Deferred				Deferral due to work on the priority 1 Covid-19 relevant new activities (e.g. Business Grants and Lost Sales Fees and Charges). Re-considered as part of 21/22 audit planning process.
Communities	Careline Service	2	Deferred				Deferral due to work on the priority 1 Covid-19 relevant new activities (e.g. Business Grants and Lost Sales Fees and Charges). Re-considered as part of 21/22 audit planning process.
Communities	Complaints Handling	2	Final Report Issued	Satisfactory	Satisfactory	06/10/2020	Brought Forward from 19/20 plan.
Communities	Housing Benefits - Overpayments	2	Deferred				Deferral (due to work on Business Grants) approved via the Revised Internal Audit Plan 20/21. Re-considered as part of 21/22 audit planning process.
Communities	Tenancy Lettings	2	Final Report Issued	Limited/Satisfactory	Satisfactory	26/01/2021	Split opinion on risk identification maturity - Limited/Satisfactory.
Communities	Electrical Works Contract	1	Final Report Issued	Limited	Limited	17/11/2020	

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Communities	Housing Reactive Repairs & Maintenance - Property Care Operations Manual	1	Final Report Issued	Not applicable	Not Applicable	27/04/2021	
Communities	Stratford Park Leisure Centre	1	Audit in Progress				New Activity. Final report outcome due within 21/22.
Communities	Business Grants	1	Consultancy	Not applicable	Not applicable	13/07/2021	New Activity.
Communities	Youth Service	2	Deferred				Deferral (due to work on Business Grants) approved via the Revised Internal Audit Plan 20/21. Re-considered as part of 21/22 audit planning process.